



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 11738.86893)

PATENT

In the Application of:

Bauhahn et al.

Serial No. 09/560,064

Filed: April 27, 2000

For: PATIENT DIRECTED THERAPY  
MANAGEMENT

Group Art Unit: not assigned

Examiner: not assigned

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TECHNOLOGY CENTER R3700

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

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Pursuant to 37 C.F.R. 1.97 and 1.98, the Applicant wishes to make the following reference of record in the above-identified application. This Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. 1.56. A copy of the reference cited below is enclosed. The reference is also listed on the enclosed and completed PTO Form 1449.


**REFERENCES**

**U.S. Patent Document**

U.S. Patent Application No. 6,308,102, issued October 23, 2001.

Respectfully submitted,  
BANNER & WITCOFF, LTD

Dated: December 4, 2001

By:   
Binal J. Patel  
Reg. No. 42,065

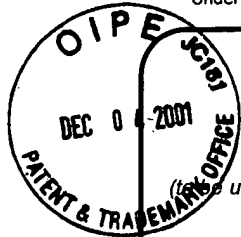
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

1

Application Number

09/560,064

Filing Date

April 27, 2000

First Named Inventor

Ruth E. Bauhahn

Group Art Unit

n/a

Examiner Name

n/a

Attorney Docket Number

11738.86899

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

**Supplemental Information  
Disclosure Statement (1 page)  
Form PTO-1449 (1 page) with  
cited reference  
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Remarks

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

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Binal J. Patel

Signature

Date

December 4, 2001

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**CERTIFICATE OF MAILING**  
(PATENT APPLICATION)

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I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

By: Tony Harris

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TECHNOLOGY CENTER R3100

Application of: Bauhahn et al.

Application No.: 09/560,064

Filing Date: April 27, 2000

Title: Patient Directed Therapy Management

Transmitted herewith are the following documents:

- ☒ Transmittal Form (1 page) in duplicate
- ☒ Supplemental Information Disclosure Statement (1 page)
- ☒ Form PTO-1449 (1 page) with cited reference
- ☒ Return Postcard

Attorney Case No.: 11738.86893